

FORM PTO 1390 (REV 01-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER SON-2633	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5)	
				10/501717	
INTERNATIONAL APPLICATION NO. PCT/JP03/01306		INTERNATIONAL FILING DATE 07 February 2003		PRIORITY DATE CLAIMED 08 February 2002	
TITLE OF INVENTION OPTICAL HEAD					
APPLICANT(S) FOR DO/EO/US Atsushi Iida, Toshio Watanabe, Hironobu Tanase, Kenji Yamamoto, and Gakuji Hashimoto					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1.	<input checked="" type="checkbox"/>	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.			
2.	<input type="checkbox"/>	This is a SECOND or SUBSEQUENT submission of items concerning a filing 35 U.S.C. 371.			
3.	<input checked="" type="checkbox"/>	This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.			
4.	<input type="checkbox"/>	The US has been elected (Article 31).			
5.	<input checked="" type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. 371 (c)(2))			
	a.	<input type="checkbox"/>	is attached hereto (required only if not communicated by the International Bureau).		
	b.	<input checked="" type="checkbox"/>	has been communicated by the International Bureau.		
	c.	<input type="checkbox"/>	is not required, as the application was filed in the United States Receiving Office (RO/US).		
6.	<input checked="" type="checkbox"/>	An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).			
	a.	<input checked="" type="checkbox"/>	is attached hereto.		
	b.	<input type="checkbox"/>	has been previously submitted under 35 U.S.C. 154(d)(4).		
7.	<input checked="" type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))			
	a.	<input type="checkbox"/>	are attached hereto (required only if not communicated by the International Bureau).		
	b.	<input type="checkbox"/>	have been communicated by the International Bureau.		
	c.	<input type="checkbox"/>	have not been made; however, the time limit for making such amendments has NOT expired.		
	d.	<input checked="" type="checkbox"/>	have not been made and will not be made.		
8.	<input type="checkbox"/>	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).			
9.	<input type="checkbox"/>	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).			
10.	<input type="checkbox"/>	An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).			
Items 11 to 20 below concern document(s) or information included:					
11.	<input checked="" type="checkbox"/>	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.			
12.	<input type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
13.	<input type="checkbox"/>	A preliminary amendment.			
14.	<input type="checkbox"/>	An Application Data Sheet under 37 CFR 1.76.			
15.	<input type="checkbox"/>	A substitute specification.			
16.	<input type="checkbox"/>	A power of attorney and/or change of address letter.			
17.	<input type="checkbox"/>	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.			
18.	<input type="checkbox"/>	A second copy of the published international application under 35 U.S.C. 154(d)(4).			
19.	<input type="checkbox"/>	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).			
20.	<input checked="" type="checkbox"/>	Other items or information: Notification of Submission of Priority Document			

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21. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1060.00
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$750.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$720.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of **\$130.00** for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	10-20 =	0	x 18	\$
Independent claims	1-3 =	0	x 86	\$
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+	\$
TOTAL OF ABOVE CALCULATIONS =				\$ 920.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$
SUBTOTAL =				\$ 920.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$
TOTAL NATIONAL FEE =				\$ 920.00
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$
TOTAL FEES ENCLOSED =				\$ 920.00
				Amount to be refunded:
				\$
				charged:
				\$

a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 18-0013 in the amount of \$ 920.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-0013. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
Ronald P. Kananen
RADER, FISMAN & GRAUER PLLC
1233 20th Street, N.W.
Suite 501 – Washington, DC 20036
(202)955-3750 Dated: July 19, 2004

SIGNATURE _____
NAME Ronald P. Kananen

24,104, Reg. No.